



January 24, 2024

Agenda Item # 176
Date 2/7/24

Thomas Smith, Emergency Manager
District 5
Dodge County
427 N Birchwood
Fremont, NE 68025

RE: Annual Risk Assessment and Monitoring Schedule
Subrecipient Agreement (SRA) Contract #(23-03-307), (23-03-311), & (23-03-317)
Hazard Mitigation Grant Program
Community Development Block Grant for Disaster Recovery (CDBG-DR)
(Funding Year 2023) (DR-4420) (Grant # B-19-DF-31-0001)

Dear Mr. Smith:

This letter is to notify you that the Nebraska Department of Economic Development (DED) will start the process of subrecipient monitoring of Dodge County as it relates to DED's CDBG-DR Hazard Mitigation Grant Program. Dodge County is subject to subrecipient monitoring pursuant to the SRA in § 4.09 Record Keeping.

In accordance with DED's CDBG-DR Monitoring and Compliance Plan (Plan), DED is required to conduct an Annual Risk Assessment to determine the Monitoring Schedule for the Hazard Mitigation Grant Program. The Plan may be found at: [Chapter-16-Monitoring- Compliance-Plan.pdf \(nebraska.gov\)](#). In addition to the Annual Applicant Risk Assessment set forth in the Plan, the Department will also conduct a Project Risk Assessment which is enclosed. The scores of the Annual Applicant Risk Assessment and the Project Risk Assessment will be combined to determine Dodge County's Annual Risk Assessment and, in turn, the Monitoring Schedule for the Hazard Mitigation Grant Program.

DED needs Dodge County's Compliance History to complete the Project Risk Assessment. As such, DED respectfully requests that Dodge County provide the following information within the next 10 business days:

- The total number of findings, concerns and/or issues, if any, Dodge County received from State or Federal regulators or private audits within the last 5 years as the result of audits and/or monitoring, and,
- Copies of all final audit and/or monitoring correspondence from State and/or Federal regulators or auditors for the last 5 years

If you have any questions or concerns, please feel free to contact Audrey L. Sautter, Compliance Team Manager at DED.Compliancerequests@nebraska.gov or call the Department at (402) 421-3746.

Sincerely,



Audrey L. Sautter, Compliance Team Manager
Nebraska Department of Economic Development

Copies (email):

Tia Loftin, Infrastructure Program Manager, Disaster Recovery Division

Jennifer Grove, Attorney II, Disaster Recovery Division

Jenny Mason, Director of Disaster Recovery

Enclosure:

HMGP Project Risk Assessment



January 24, 2024

Thomas Smith, Emergency Manager
North Bend Drainage District 1856
Country Road 7
North Bend, NE 68649

RE: Annual Risk Assessment and Monitoring Schedule
Subrecipient Agreement (SRA) Contract #23-03-312
Hazard Mitigation Grant Program
Community Development Block Grant for Disaster Recovery (CDBG-DR)
(Funding Year 2023) (DR-4420) (Grant # B-19-DF-31-0001)

Dear Mr. Smith:

This letter is to notify you that the Nebraska Department of Economic Development (DED) will start the process of subrecipient monitoring of the North Bend Drainage District as it relates to DED's CDBG-DR Hazard Mitigation Grant Program. The North Bend Drainage District is subject to subrecipient monitoring pursuant to the SRA in § 4.09 Record Keeping.

In accordance with DED's CDBG-DR Monitoring and Compliance Plan (Plan), DED is required to conduct an Annual Risk Assessment to determine the Monitoring Schedule for the Hazard Mitigation Grant Program. The Plan may be found at: [Chapter-16-Monitoring- Compliance-Plan.pdf \(nebraska.gov\)](#). In addition to the Annual Applicant Risk Assessment set forth in the Plan, the Department will also conduct a Project Risk Assessment which is enclosed. The scores of the Annual Applicant Risk Assessment and the Project Risk Assessment will be combined to determine the North Bend Drainage District's Annual Risk Assessment and, in turn, the Monitoring Schedule for the Hazard Mitigation Grant Program.

DED needs the North Bend Drainage District's Compliance History to complete the Project Risk Assessment. As such, DED respectfully requests that the North Bend Drainage District provide the following information within the next 10 business days:

- The total number of findings, concerns and/or issues, if any, the North Bend Drainage District received from State or Federal regulators or private audits within the last 5 years as the result of audits and/or monitoring, and,
- Copies of all final audit and/or monitoring correspondence from State and/or Federal regulators or auditors for the last 5 years

If you have any questions or concerns, please feel free to contact Audrey L. Sautter, Compliance Team Manager at DED.Compliancerequests@nebraska.gov or call the Department at (402) 421-3746.

Sincerely,



Audrey L. Sautter, Compliance Team Manager
Nebraska Department of Economic Development

Copies (email):

Tia Loftin, infrastructure Program Manager, Disaster Recovery Division
Jennifer Grove, Attorney II, Disaster Recovery Division
Jenny Mason, Director of Disaster Recovery

Enclosure:

HMGP Project Risk Assessment